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# Intermediary registration form

Using the editable fields? To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

# With this form you can:

- register your details with the following 'Providers':

- Quilter Investment Platform Limited
- Quilter Life & Pensions Limited

We regularly update our forms; you can confirm that this September 2023 version is the latest by checking on our website *quilter.com* 

## Completing this form

- Tick/complete this form, as applicable, using BLOCK CAPITALS and blue or black ink. For dates, please use the format day/month/year.
- Please attach a sheet of your firm's letterhead to this form.

#### Send your completed form as follows:

- **By post** to Quilter, FAO Adviser Servicing, Quilter, SUNDERLAND, SR43 4JP.
- By email to ask@quilter.com
- By fax to 023 8048 1454

# 1. Intermediary details

Name of firm	
Trading name (If different from above)	
Address	
	Postcode
Telephone number	Fax number
Email address	Website
Type of firm ► Please tick/complete as application	ble
Limited company	Registered number
Sole trader Partnership Full names of managing director, direc	Limited Liability Partnership ctors, partners or principal
Have the directors, partners or princi of the Provider companies? No	pal been associated with an intermediary which has registered to place business with any

Yes ► Please give details. Continue on a separate sheet if necessary



Has registration ever been refused to your firm by an insurance, assurance or investment company or society?

No

Yes ► Please give details. Continue on a separate sheet if necessary

Do you belong to a Service Provider/Are you an Associate Member of a Network?

Yes > Please give details and attach a copy of your Confirmation of Membership where this is available

Vhat is your firm's Financial Services Register Reference Number?
Do you use any lead generators or introducer firms?
No
Yes ► Please list their full company name and FCA number
Vhat is your business model? Advised
Non-Advised
A mixture ► Please estimate what percentage of your firm's business is non-advised %
Vas your firm known by any other names in the past? No
Yes ► Please give details. Continue on a separate sheet if necessary.

#### 2. Payment details

Required frequ	uency of remune	eration payments	5		
Weekly	Monthly	Quarterly	Half-yearly	Yearly	
Details of your	firm's bank acc	ount			
Branch sort co	ode			Bank account numb	ber la
Bank name an	d address				
				Postcoo	de
Name of bank	account holder	(S)			

Direct credit payments will normally be cleared into your account three working days after the Remuneration Statement.

#### 3. Access to Quilter's technology platform

#### Master user

The master user is the person responsible for specifying the individuals within your company who can have access to Quilter's technology platform. In a large company, this could be your systems administrator; in a smaller company it could be a senior office administrator, the principal or their PA.

The master user is responsible for the day-to-day monitoring and safekeeping of passwords and usernames. The master user may appoint additional master users to assist in carrying out these responsibilities.

Master user's details					
Title	Mr	Mrs	Miss	Ms	Other ► Please specify
First name(s)					
Surname					
Email address					
Telephone number				Mobile	e ► Optional
4. Declaration					

#### Declaration - to be signed by all the directors, all the partners or the principal.

a) I/We acknowledge receipt of the Terms of Business and confirm acceptance of them.b) I/We declare that the statements made in this form are true and complete.c) I/We apply to place business with the Providers in accordance with the Terms of Business.d) I/We authorise the Providers to obtain any references they may require.

1. Signature	Date	
Print full name	Capac partner,	İty eg director, principal
2. Signature	Date	
Print full name	Capac partner,	İty eg director, principal
3. Signature	Date	
Print full name	Capac partner,	İty eg director, principal
4. Signature	Date	
Print full name	Capac partner,	ity eg director, principal

#### Note

For a limited company with more than one director or a partnership, we will accept the signature of only one director or partner on the understanding that the director or partner is signing for, and has the authority of, all directors or partners of the company or partnership.



## quilter.com

Please be aware that calls and electronic communications may be recorded for monitoring, regulatory and training purposes and records are available for at least five years. Quilter is the trading name of Quilter Investment Platform Limited which provides an Individual Savings Account (ISA), Junior ISA (JISA) and Collective Investment Account (CIA) and Quilter Life & Pensions Limited which provides a Collective Retirement Account (CRA) and Collective Investment Bond (CIB).

Quilter Investment Platform Limited and Quilter Life & Pensions Limited are registered in England and Wales under numbers 1680071 and 4163431 respectively. Registered Office at Senator House, 85 Queen Victoria Street, London, EC4V 4AB, United Kingdom. Quilter Investment Platform Limited is authorised and regulated by the Financial Conduct Authority. Quilter Life & Pensions Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority. Their Financial Services register numbers are 165359 and 207977 respectively. VAT number 386 1301 59.